Mandatory Medical Clearances

Overview and purpose

Before an employee may begin the duties of a civil service position, certain conditions of employment must be met. One of these is a requirement for medical clearance. There are two types of medical clearance:

- Essential Functions Health Questionnaire STD 910
- Health Questionnaire (with Physician's Report) STD 610

The purpose of this section is to outline the procedures for obtaining medical clearance.

Policy

It is the policy of the State Personnel Board (SPB) that employability of persons in state civil service be based on individual consideration of the applicant to safely and efficiently perform the essential functions of the applied for position.

Failure to appoint an applicant for medical reasons should only take place when:

- a medical condition will prevent the applicant from safely and efficiently performing the essential duties of the vacant position and the hiring department is unable to provide reasonable accommodation
- the essential duties or working conditions will, based on medical opinion, significantly and imminently endanger the health or safety of the employee, co-workers or the public
- no reasonable accommodation is available

Topics

The following table identifies the topics covered in this section.

Topic	See Page
Essential Functions Health Questionnaire STD 910 Process	1
Essential Functions Health Questionnaire STD 910 Procedures	4
Health Questionnaire (with Physician's Report) STD 610 Process	7
Health Questionnaire (with Physician's Report) STD 610 Procedures	12
Resources	17
List of Classes That Require STD 610	Attachment 1

Essential Functions Health Questionnaire (STD 910) Process

Overview

The Essential Functions (EF) Health Questionnaire (HQ) STD 910 is the document presented to the prospective employee, along with the EF duty statement, when a firm job offer is made. Completion of the STD 910 is mandatory.

Roles and responsibilities

The following table depicts the roles and responsibilities in the EF HQ

s process:		
Role	Responsibility	Result
Personnel Liaison(PL)/ Attendance Clerk(AC)	 Insert appropriate information as required on STD 910 Attach EF Duty Statement Forward to hiring supervisor Review for completeness following firm job offer acceptance Forward to Reasonable Accommodation Coordinator (RAC), if required Forward all hiring documents to Personnel Specialist (PS) Retain all hiring interview documents 	
Hiring Supervisor	 Make copies of EF duty statement Does not provide STD 910 during interviews Conduct an interactive process when firm job offer made and review the EF duty statement Does not determine reasonable accommodation, if applicable May work with RAC/employee regarding reasonable accommodation May rescind job offer if prospective employee refuses to sign Has prospective employee sign S/he signs Forward signed and completed STD 910 and EF Duty Statement to PL/AC Prospective employee CANNOT start work until STD 910 has been reviewed by PL 	EE not hired, proceed to next "best qualified" candidate

Essential Functions Health Questionnaire (STD 910) Process,

Continued

Roles and responsibilities

(continued)

Role	Responsibility	Result
Personnel Specialist (PS)	Process appointmentForward documents to CRU for filing	PAR keyed
Customer Resource Unit (CRU)	Separate STD 910, with attached EF Duty Statement, from hiring documents and file accordingly	Compliance with Privacy Act
Reasonable Accommodation Coordinator (RAC)	 Work with the employee and if necessary, the hiring supervisor and Medical Officer at the State Personnel Board (SPB) Approve and implement or deny reasonable accommodation Return STD 910 to PL/AC 	EE reasonably accommodat ed or job offer rescinded
Prospective employee (EE)	 Understand EF duty statement and STD 910 Disclose need for reasonable accommodation Sign and return forms to the PL 	EE begins work following PS review of HQ and notification to PL/AC

Essential Functions Health Questionnaire (STD 910) Process, (continued)

When STD 910 required

The hiring supervisor **MUST** engage in an interactive discussion with the prospective employee regarding the EF duty statement and HQ for all of the following personnel transactions when there is a <u>change in duties or work environment</u>:

Note: Classifications that require a STD 610 must be accompanied with a STD 910.

Required	Not required
Transfer	Appointment from subdivisional or
	departmental reemployment list
Out-of-class assignment	Mandatory reinstatement occurs
	except in cases of medical
	termination, which must be approved
	by the SPB Medical Officer
Promotion	Public Employee Retirement System
	(PERS) has given medical clearance
	for permissive reinstatement to a
	class in the same occupational group
	(see Pay Scale Schematic Section)
	after a disability retirement
Training and Development (T&D)	
assignment	
Temporary Authorization	
Utilization (TAU)	
New hire to state service	
Reasonable accommodation	
(requested/needed)	

Essential Functions Health Questionnaire (STD 910) Procedure

How to complete and process the STD 910

Follow the steps in the table below to complete the STD 910.

Step	Action
1	On the STD 910 the PL/AC :
	Inserts applicant information
	 Inserts contact information, the name of the PL
	Inserts "see attached duty statement" in List of Essential
	Functions section
	• Inserts "Duties approved per RPA # on dd/mm/yy" in the
	"Personnel Officer's Name" block
	Attaches EF Duty Statement
	Forwards documents to hiring supervisor
2	The hiring supervisor makes a job offer and engages in an
	interactive process:
	Has the EE read and/or understand¹ the EF duty statement
	Has the EE read and/or understand the STD 910
	Has the EE complete and sign both documents If the first because the appear to the CTP 040 is absoluted.
3	If the first box on the second page of the STD 910 is checked,
	the hiring supervisor: • Determines a tentative start date with the EE
	Has EE sign both documents
	Signs both documents
	Forwards both documents to the PL/AC for processing
4	If the third box on the second page of the STD 910 is checked,
•	the hiring supervisor:
	Rescinds the job offer
	Thanks the EE for his/her time
	Forwards both documents to the PL/AC for retention with all of
	the hiring interview documents for a period of 2 years from the
	date of the last interview
	Makes firm job offer to next "best qualified" applicant

Continued on next page

80.4

ORIGINAL ISSUE DATE: 2/04 REVISION DATE: 6/04

¹ The term "understand" may require the employee to provide an interpreter (friend or family member) at the time of the firm job offer, if the prospective employee does not speak English, is not fluent in English, is deaf, or blind. The hiring supervisor must inform the prospective employee to have an interpreter accompany him/her to the job offer discussion.

Essential Functions Health Questionnaire (STD 910) Procedure,

Continued

How to complete and process the STD 910 (continued)

Step	Action
5	If the second or fourth box on the second page of the STD 910 is
	checked, the hiring supervisor:
	Opens dialogue with the EE to ascertain what limitations s/he
	may have or what equipment, work schedule, etc. is needed
	Takes detailed notes regarding the conversation
	Does not determine the type of accommodation
	Informs EE that the RAC will contact him/her to discuss
	reasonable accommodation and ends the job offer discussion
	Has the EE sign both documents
	Signs both documents
	Forwards documents to PL/AC for routing to RAC
6	If a reasonable accommodation is requested, the RAC works with
	the employee, hiring supervisor and Medical Officer:
	To determine the appropriate reasonable accommodation
	Notifies the C&P Analyst of any change in duties or working
7	conditions to ensure appropriate allocation
'	If a reasonable accommodation is approved, the RAC:
	Implements the accommodationApproves the STD 910
	Forwards the approved STD 910 to the PL/AC
8	The PL/AC informs the hiring supervisor of the STD 910 approval
9	The hiring supervisor notifies the employee to start work
10	The PL/AC forwards all hiring documents to the PS, and makes
	three copies of the revised EF Duty Statement, if applicable,
	One for the hiring supervisor's work file for use in performance
	appraisals, training, or possible disciplinary action
	One for the employee
	One for the employee's Official Personnel Folder (OPF)
	• Copies of the STD 910 are not to be retained. The original,
	sent with the hiring documents to the PS, is retained in a
	separate, confidential medical file

Essential Functions Health Questionnaire (STD 910) Procedure,

Continued

How to complete and process the STD 910 (continued)

Step	Action
11	The PS processes the appointment
12	The EE starts work and completes remaining appointment paperwork

Considerations

Acknowledging that some hiring interviews are not held in the location of the position, the hiring supervisor may:

- Telephone the prospective employee regarding the firm job offer,
- Inform the prospective employee regarding the purpose of the STD 910 noting the HQ and the EF duty statement must be signed,
- Read the EF duty statement to the prospective employee and then ask him/her to respond to one of the four boxes on the STD 910, and
- The EF duty statement and STD 910 shall be faxed or mailed to the employee for signature and return. Both documents must be signed and returned within 7 calendar days from the postmark date or the date the fax was sent. The hiring supervisor must provide his/her fax number and/or a pre-addressed return envelope.

Warning!

A prospective employee CANNOT start work until the STD 910 has been signed and/or approved by the PL, SPB Medical Officer and/or Reasonable Accommodation Coordinator (RAC), if Subject to Proper Placement (STPP) has been indicated by SPB Medical Officer!

Health Questionnaire (with Physician's Report) STD 610 Process

Purpose

The Health Questionnaire (HQ) with Physician's Report STD 610 is the document presented to the prospective employee, along with the EF duty statement, and an Essential Functions Health Questionnaire (STD 910) when a **firm** job offer is made for positions that require:

- Specific physical requirements as part of the classification specification where examination is needed to determine ability to perform essential duties or need for accommodation
- Require one of the following duties or conditions of employment:
 - a. operation of heavy motorized vehicles/equipment or vehicles engaged in transportation of passengers (this does not include driving incidental to performance of State business)
 - b. responsibility for custody, control or direct contact with incarcerated or institutionalized individuals
 - c. continuously rotating or back-to-back shift assignments (working on more than one shift without a 15-hour rest period) or extended work shifts
 - d. performance of tasks at unsafe distance from the ground with or without safety apparatus (e.g., poles, scaffolds, tree tops, bridge suspensions, etc.) or near heavy or fast moving machinery or traffic
 - e. repetitive lifting or carrying 25 pounds or more
 - f. any other functional or environmental factor of high physical, psychological or environmental demand as determined by the hiring authority and the SPB Medical Officer.

Continued on next page

Health Questionnaire (with Physician's Report) STD 610

Process, Continued

Roles and The following responsibilities 610 process.

The following table depicts the roles and responsibilities in the STD

Role	Responsibility
Personnel Liaison (PL)/Attendance Clerk (AC)	Responsibility Verify class requires STD 610 (see Attachment 1 for list of classifications) Insert appropriate information on the upper portion of pages 1 and 3 of the STD 610 Attach EF Duty Statement Forward to hiring supervisor
	 Upon completion and return of STD 610, forward all hiring documents to Personnel Specialist (PS) Retain all hiring interview documents Make copies of EF duty statement Review and approve STD 610, or forward to SPB Medical Officer for review and approval If Subject to Proper Placement (STPP), forward to Reasonable Accommodation Coordinator

Health Questionnaire (with Physician's Report) STD 610

Process, Continued

Roles and responsibilities

(continued)

Role		Responsibility
Hiring	•	STD 610 during interviews
Supervisor		ctive process when firm job offer made including luty statement and explanation of the STD 610 and nent
	If	Then
	Second or fourth	The hiring supervisor:
	box on the second page of the STD 910 is checked	 Opens dialogue with the EE to ascertain what limitations s/he may have or what equipment, work schedule, etc. is needed Takes detailed notes regarding conversation Does not determine the type of accommodation Informs EE that the RAC will contact him/her to discuss reasonable accommodation and ends the job offer discussion Has the EE sign all documents Signs all documents Forwards all but STD 610 documents to PL/AC for routing to RAC
	Accommodation indicated	Follow steps 6 – 8 on page 6 for the STD 910
	 S/he signs EF dut Forward all other has completed STD 67 Prospective empoder of the second of the secon	mployee sign both documents y statement hiring documents to PL/AC for retention until return of 10 with signed EF duty statement loyee CANNOT start work until STD 610 and STD broved by the PL, SPB Medical Officer and/or commodation Coordinator (RAC), if Subject to at (STPP) has been indicated by SPB Medical
Personnel	Processes appoint	tment
Specialist (PS)	Forwards hiring do	ocuments to Customer Resource Unit for filing

Roles and responsibilities

(continued)	+	
Role	Responsibility	
Customer Resource Unit (CRU)	 Separate STD 610, with attached EF Duty Statement, from hiring documents and file accordingly 	
Reasonable Accommodation Coordinator (RAC)	Work with the employee and if necessary, the hiring supervisor and Medical Officer at the State Personnel Board (SPB)	
	If	Then
	STD 610 returned marked STPP	Implement or deny reasonable accommodation
	 Notifies and returns STD 610 to the limitations 	PL/AC of approval and/or
Prospective	Understand EF duty statement and	STD 610
employee	 Complete the remainder of page 1 a STD 610 	and the top half of page 2 of the
	 Obtain medical examination in reas no more than three weeks) having t portion of the STD 610 	(0
	Sign and return forms to hiring super	ervisor
	 If unable to obtain within 30 days of rescinded 	job offer, job offer can be

When STD 610 required

The hiring supervisor MUST engage in an interactive discussion with the prospective employee regarding the EF duty statement and HQ for classifications as described under 'Purpose' and are a:

- Transfer (change in class)
- Out-of-class assignment
- Promotion
- Training and Development (T&D) assignment
- Temporary Authorization Utilization (TAU)
- New hire to state service
- Reasonable accommodation (requested/needed)

Continued on next page

<u>Health Questionnaire (with Physician's Report) STD 610</u> <u>Procedure</u>

How to complete and process an approved STD 610

Follow the steps in the table below to complete and process the STD 610.

Step	Action
1	On the STD 610 the PL/AC , on page 1 and 3, inserts:
	Date job offer made
	Applicant name
	Applicant address
	Class title and position number of vacancy
	Hiring agency name
	Agency address
	Hiring manager's name and telephone number
	Appointment type
	Desired appointment date
	Certification number, if applicable
	Applicant's current occupation
	Attaches EF duty statement
	Forwards documents to hiring supervisor

Continued on next page

How to complete and process an approved STD 610 (continued)

Step			
2	and has the prosper • Read and/or under	sor makes a job offer and engages in an interactive process ctive employee (EE): erstand ² the EF duty statement erstand the STD 610	
	If	Then	
	Second or fourth box on the second page of the STD 910 is checked	 The hiring supervisor: Opens dialogue with the EE to ascertain what limitations s/he may have or what equipment, work schedule, etc. is needed Takes detailed notes regarding conversation Does not determine the type of accommodation Informs EE that the RAC will contact him/her to discuss reasonable accommodation and ends the job offer discussion Has the EE sign all documents Signs all documents Forwards all but STD 610 documents to PL/AC for routing to RAC 	
	Accommodation indicated	Follow steps 6 - 8 on page 6 of the STD 910	

Continued on next page

80.13

ORIGINAL ISSUE DATE: 2/04 REVISION DATE: 6/04

² The term "understand" may require the employee to provide an interpreter (friend or family member) at the time of the firm job offer, if the prospective employee does not speak English, is not fluent in English, is deaf, or blind. The hiring supervisor must inform the prospective employee to have an interpreter accompany him/her to the job offer discussion.

How to complete and process an approved STD 610 (continued)

Step	Action
3	 The EE schedules a medical examination with his/her physician, who completes the remainder of the STD 610 Returns the STD 610, with signed EF duty statement, to the PL/AC, within a reasonable time (generally, no more than three weeks; however, if unable to obtain within 30 days of job offer, job offer can be rescinded)
4	 Upon return of the STD 610, the PL/AC reviews the STD 610 for completeness and may approve and sign as the reviewer IF there are no positive or "yes" responses Notifies hiring supervisor of approved STD 610 and that a start date may be given to the employee
5	The hiring supervisor contacts and informs the employee of his/her start date
6	 PL/AC forwards all hiring documents to the PS for processing the appointment

Continued on next page

How to complete and process a disapproved or questionable

STD 610

Follow the steps in the table below to complete and process a disapproved or questionable STD 610. Steps 1 through 3 on page 12 have been completed.

Step	Action	
4	Upon return of the STD 610, the PL/AC reviews the STD 610 for completeness	
	 PL/AC forwards to Medical Officer if STD 610 has any positive or "yes" responses 	
5	Upon return of the STD 610 from the Medical Officer, the PL/AC reviews the Medical Officer's determination	
	 If disapproved, the PL/AC notifies the hiring supervisor to contact the employee and inform him/her they cannot be hired and providing him/her with the Medical Officer's rationale for the disapproval 	
	 If questionable (Subject to Proper Placement-STPP), the PL/AC forwards the STD 610 to the RAC for reasonable accommodation determination and implementation 	
6	The RAC works with the employee, hiring supervisor and Medical Officer: • To determine the appropriate reasonable accommodation	
	 Notifies the Classification and Pay (C&P) Analyst of any change in duties or working conditions to ensure appropriate allocation 	
7	If reasonable accommodation is approved, the RAC: • Initials the STD 610	
	Forwards STD 610, with EF duty statement, to PL/AC	
8	The PL/AC informs the hiring supervisor the STD 610 is approved and what limitations, etc., if any and that s/he contact the employee with a start date	
9	The hiring supervisor notifies the employee of his/her start date	

How to complete and process a disapproved or questionable STD 610 (continued)

Step	Action
10	 The PL/AC forwards all hiring documents to the PS, and makes three copies of the revised EF Duty Statement, One for the hiring supervisor's work file for use in performance appraisals, training or possible disciplinary action One for the employee One for the employee's Official Personnel Folder (OPF) Copies of the STD 610 are not to be retained. The original, sent with the hiring documents to the PS, is retained in a separate, confidential file
11	The PS processes the appointment
12	The EE starts work and completes remaining appointment paperwork

Warning!

A prospective employee cannot start work until the STD 610 has been signed, reviewed and approved by the PL, SPB Medical Officer and/or Reasonable Accommodation Coordinator (RAC), if Subject to Proper Placement (STPP) has been indicated by SPB Medical Officer!

Medical Clearance Resources

Resources

The table below lists various resources relating to medical clearances.

Resource	Section
Human Resources Policy Memos	03-013, 01-003
http://msd.dgs.ca.gov/AO.htm	00 010, 01 000
Law and Regulation	GC 18931, 19253.5, 19261
http://www.dpa.ca.gov/statesys/dpa	SPB Rule 172.3, 173
/laws.htm	SI B Raie 172.5, 175
http://www.dpa.ca.gov/statesys/dpa	
/oalrules.htm	
Memo of Understanding (MOU)	Applicable MOU
http://www.dpa.ca.gov/collbarg/cont	Applicable WOO
ract/bumenu.shtm	
Responsible Control Agency and/or	SPB
Program	31 5
State Administrative Manual (SAM)	0191
http://sam.dgs.ca.gov/default.htm	0191
Selection Manual	7500
SPB/DPA Policy Memos	SPB Pinkie 7/10/02, 12/15/00,
http://www.spb.ca.go/pinkies.htm	1/9/93
Other:	
Personnel Policy & Procedure	40
Manual (PPPM)	
STD 910	http://www.documents.dgs.ca.
	gov/osp/pdf/std910.pdf
STD 610	http://www.documents.dgs.ca.
	gov/osp/pdf/std610.pdf

ATTACHMENT 1

List of Classes that Require STD 610

List of classes

The following table lists the various classes that require a STD 610, in alphabetical order.

Class Title	Class Code
Administrative Law Judge I, OAH	6071
Administrative Law Judge II (Specialist), OAH	6068
Area Supervisor I, Ofc. Machine Repair Service	6811
Area Supervisor II, Ofc. Machine Repair Service	6942
Assistant Chief, Buildings and Grounds Division	6666
Assistant Chief, Fleet Administration	6905
Associate Printing Plant Superintendent	7222
Automotive Pool Attendant I	6898
Automotive Pool Attendant II	6897
Automotive Pool Attendant III	6894
Automotive Pool Manager I	6895
Automotive Pool Manager II	6883
Bookbinder I	7404
Bookbinder II	7402
Bookbinder III	7401
Bookbinder IV	7399
Building Maintenance Worker	6215
Carpenter I	6476
Carpenter II	6475
Carpenter Supervisor	6470
Chief Engineer I	6698
Chief Engineer II	6695
Construction Inspector II	4032
Construction Supervisor I	4031
Construction Supervisor II	4030
Construction Supervisor III	4029
Direct Construction Supervisor I	4036
Direct Construction Supervisor II	4038
Direct Construction Supervisor III	4045
District Structural Engineer	3332
Electrical Inspector II	4034
Electrician I	6533
Electrician II	6532

List of Classes that Require STD 610, Continued

List of classes (continued)

Class Title	Class Code
Electrician Supervisor	6530
Electronics Technician	6913
Electronics Technician Supervisor	6960
Elevator Operator	2034
Groundskeeper	0731
Hearing Reporter	1229
Heavy Equipment Mechanic	6834
Heavy Truck Driver	6378
Inspector of Automotive Equipment	6892
Interagency Messenger	1697
Janitor	2011
Janitor Supervisor I	2003
Janitor Supervisor II	2002
Janitor Supervisor III	2001
Junior Inspector of Automotive Equipment	6871
Laborer	6223
Lead Automobile Mechanic	6850
Lead Groundskeeper	0725
Locksmith I	6642
Mailing Machines Operator I	1779
Mailing Machines Operator II	1780
Mailing Machines Supervisor I	1459
Maintenance Mechanic	6940
Materials and Stores Specialist	1506
Material and Stores Supervisor	1503
Mechanical Inspector II	4037
Mechanic's Helper	6837
Molding Press Operator	7435
Office Building Manager I	6675
Office Building Manager II	6673
Office Building Manager III	6672
Office Building Manager IV	6671
Office Machine Service Technician	6782

List of Classes that Require STD 610, Continued

List of classes (continued)

Class Title	Class Code
Office Machine Service Technician (Electronic)	6797
Office Machine Service Technician Trainee	6785
Offset Press Assistant	7335
Offset Process Camera Operator Black and White	7313
Painter I	6526
Painter II	6525
Painter Supervisor	6520
Plumber I	6549
Plumber II	6548
Printing Trades Assistant I	7438
Printing Trades Assistant II	7437
Printing Trades Specialist I (General)	1487
Printing Trades Specialist II (General)	1499
Printing Trades Specialist III (General)	1511
Printing Trades Specialist III (Process Camera)	1512
Printing Trades Specialist IV	1522
Printing Trades Specialist Trainee (General)	1485
Printing Trades Supervisor I (General)	1515
Printing Trades Supervisor II (General)	1516
Restoration Supervisor I	6644
Restoration Supervisor II	6647
Restoration Work Specialist	6648
Restoration Worker	6651
Senior Equipment Material Specialist	1542
Senior Inspector of Automotive Equipment	6855
Senior Printing Trades Specialist (General)	1519
Senior Telecommunications Technician	6910
Service Assistant – Automotive	6917
Service Assistant (Duplicating)	1484
Service Assistant – Maintenance	9994
Service Assistant – Warehouse and Stores	1510
Sheetfed Offset Press Operator I	7327
Sheetfed Offset Press Operator II	7324

Continued on next page

STATE OF CALIFORNIA - DEPARTMENT OF GENERAL SERVICES **PERSONNEL OPERATIONS MANUAL** POM

List of Classes that Require STD 610, Continued

List of classes (continued)

Class Title	Class Code
Sheetfed Offset Press Operator III	7330
Sheetfed Offset Press Operator IV	7329
Skilled Laborer	6212
Specialty Press Operator	7314
Stationary Engineer	6712
Stationary Engineer Apprentice (Four-Year Program)	6717
Stock Clerk	1509
Supervising Groundskeeper II	0717
Supervising Structural Engineer	3331
Supervising Telephone Operator	1625
Telecommunications Technician	6911
Telecommunications Technician Trainee	6912
Truck Driver	6381
Warehouse Worker	6220
Webfed Offset Press Operator I	7331
Webfed Offset Press Operator II	7332
Webfed Offset Press Operator III	7333
Window Cleaner	2037